SEC Form 4

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287							
Estimated average burden								
hours per response	: 0.5							

to Section 16. Form 4 or Form 5 obligations may continue. See											Estimated average burden hours per response:		den 0.5	
Instruction 1	(b).		Filed		nt to Section 16(a) ction 30(h) of the In					934	L		· ·	
1. Name and Address of Reporting Person* Slater Scott S					uer Name and Tick oneira CO [I	Symbol		k all applicabl Director	10% Owner		Dwner			
	(First) EIRA COMPAN INGS ROAD	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 03/22/2022							Officer (giv below)	/e title	Other below	(specify)
·			4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) SANTA PAULA	CA	93060									 Form filed by One Reporting Person Form filed by More than One Reporting Person 			
(City)	(State)	(Zip)												
		Table I - No	n-Deriva	tive S	ecurities Acq	uired,	Dis	posed of,	or Ber	eficially	y Owned			
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of 5)			5. Amount o Securities Beneficially Owned Follo Reported	curities neficially vned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownershij (Instr. 4)
				Code V		Amount (A) or (D) P		Price	Transaction(s) (Instr. 3 and 4)			(1150.4)		
Common Stock 03/22/				2022		A		8,289(1)	A	\$ <mark>0</mark>	38,352	2	D	
					curities Acqui						Owned			

(cigi, puts, cans, warrants, opnoris, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) o Dispo of (D	r osed) r. 3, 4	Expiration Date (Month/Day/Year)			Expiration Date (Month/Day/Year) Amount of Securities Underlying Derivative Security (Instr. 3 and 4) derivative Security (Instr. 5) derivative Security (Instr. 6) derivative Security (Instr. 7) derivative Security (Instr. 4) derivative Security (Instr. 4) derivative (Instr. 4) derivative Security (Instr. 4) derivative (Instr. 4) deriv				11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Reflects the granting of a stock award pursuant to the Limoneira Company 2022 Omnibus Incentive Plan, as approved by the shareholders.

<u>/s/ Scott S. Slater, by Mark</u>	
Palamountain and Greg	03/24/2022
Hamm as attorneys-in-fact	

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.